



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/858,334	05/15/2001	Wai Kat Liu	WIW-009.01

CONFIRMATION NO. 2178

FORMALITIES LETTER

Chiahua George Yu
Law Offices of C. George Yu
1250 Oakmead Pkwy., Ste. 210
Sunnyvale, CA 94085



APR 30 2004

OFFICE OF PETITIONS Date Mailed: 07/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$166.
 - \$126 for 14 total claims over 20.
 - \$40 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration as set forth in 37 CFR 1.136(a) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 586.

04/23/2004 SDIRETA1 00000016 09858334

01 FC:8403	665.00 OP
02 FC:1400	130.00 OP
03 FC:2001	385.00 OP
04 FC:2001	65.00 OP
05 FC:2202	126.00 OP
06 FC:2202	126.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Liu et al.

Atty Docket: NSO-009.01

Serial No.: 09/858,334

Art Unit: 2641

Filed: May 15, 2001

Examiner: Not yet assigned

Title: System and Methods for Accent Classification and Adaptation

RECEIVED

APR 30 2004

OFFICE OF PETITIONS

TRANSMITTAL LETTER

Dear Sir or Madam:

Enclosed are the following:

1. This Transmittal Letter with Certificate of Express Mailing (1 pg);
2. Fee Sheet *in duplicate* (2 total pages);
3. A Petition to Revive with Certificate of Express Mailing (2 pages);
4. A Response to Notice to File Missing Parts with Certificate of Express Mailing (2 pgs);
5. A copy of the Notice to File Missing Parts (1 pg);
6. An original executed Declaration (1 pg);
7. Petition Under 37 CFR 1.47(a) with Certificate of Express Mailing (3 pgs);
8. Exhibit A (2 pgs); Exhibit B (2 pgs); Exhibit C (1 pg);
9. A Change of Address and Docket No. with Certificate of Express Mailing (2 pgs);
10. A copy of an executed Power of Attorney (1 page);
11. A check in the amount of \$1,414.00 (fees);and
12. A return postcard.

Respectfully submitted,

FOLEY HOAG LLP

Kevin A. Oliver

Registration No. 42,049

April 27, 2004

Date

Customer No. **25,181**

Patent Group

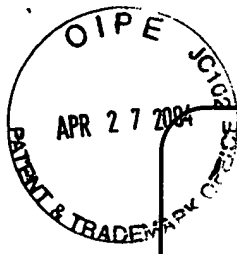
155 Seaport Boulevard

Boston, MA 02210-2600

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as express mail (Express Mail Label No. EV 327721870 US) in an envelope addressed to: Mail Stop: Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 27, 2004.

John Barretto



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1414

Complete if Known

Application Number	09/858,334
Filing Date	May 15, 2001
First Named Inventor	Liu et al.
Examiner Name	Not yet assigned
Art Unit	2641
Attorney Docket No.	NSO-009.01

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☐ Deposit Account:

Deposit Account Number 06-1448, Reference: NSO-009.01

Deposit Account Name Foley Hoag LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	385
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 385

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	34	-20 **	=	14	X	9	=	126
Independent Claims	4	-3 **	=	1	X	43	=	43
Multiple Dependent					X		=	0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 169

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	65.00
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	665.00
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130.00
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 860

SUBMITTED BY

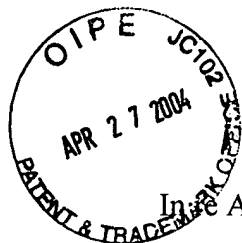
Complete (if applicable)

Name (Print/Type)	Kevin A. Oliver	Registration No. (Attorney/Agent)	42,049	Telephone	(617) 832-1241
Signature		Date	April 27, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



#8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Liu et al.

Serial No.: 09/858,334

Filed: May 15, 2001

For: System and Methods for Accent
Classification and Adaptation

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Group Art Unit: 2641

Examiner: Not Yet Assigned

Attorney Docket No.: NSO-009.01

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as express mail (Express Mail Label No. EV 327721870 US) in an envelope addressed to: Mail Stop: Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 27, 2004.


John Barretto

RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop: Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants submit this Response in reply to the Notice to File Missing Parts dated July 16, 2001.

The Notice to File Missing Parts indicates that the following items are required to complete the application: (1) an executed declaration and (2) the application filing fee, the claim fees for extra claims, and the surcharge for late filing of executed declaration.

With respect to item (1), Applicants herewith provide an executed declaration of inventor Kwok Leung Lam and an accompanying Petition under 37 C.F.R § 1.47(a).

With respect to item (2), Applicants claim small entity status and herewith provide a check to cover the \$385.00 filing fee, the \$169.00 claim fees, and the \$65.00 surcharge.

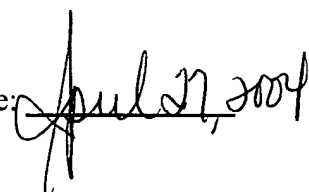
Applicants also provide a copy of the Notice to File Missing Parts.

Applicants' Attorney considers this Response to be fully response to the Notice to File Missing Parts. Should additional fees be required for consideration of the Response, Applicants' Attorney requests that the additional fees be charged to **Deposit Account No. 06-1448, Reference NSO-009.01**. Further, Applicants' Attorney hereby authorizes the Commissioner to credit any overpayment to **Deposit Account Number 06-1448, Reference NSO-009.01**.

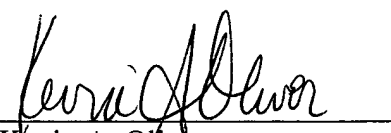
Should questions arise concerning this Response, please contact the undersigned.

Respectfully submitted,
FOLEY HOAG LLP

Date:

 4/17/2004

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Attorney for the Applicants